

Financial Institutions



For over 130 years, Zurich has served the insurance needs of U.S. financial institutions. Through it all, we've delivered when it matters. Today, we work to give financial institutions what they need from an insurance company, including industry-leading financial ratings from A.M. Best (A+/stable) and Standard & Poor's (AA/stable).¹

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We regularly review our management liability products to address liability coverage in light of developing regulation, litigation and emerging risks. We offer coverage for banks and lending institutions, registered investment advisers, mutual funds, hedge funds, real estate and private equity funds, venture capital firms, real estate investments trusts (REIT), insurance (P&C and Life), re-insurance companies, financial technology firms, captive insurance agents and other types of financial services providers.



Asset Management Industry

Investment Advisers/Mutual Funds/Hedge Funds

D&O, E&O, Fiduciary, EPL, Fidelity

Appetite: Registered Advisers/Managers/Mutual Funds/Hedge Funds with minimum of \$50M in AUM

Private Equity Firms

D&O, E&O, Fiduciary, EPL, Fidelity

Appetite: Venture Capital, Private Real Estate, Fund of Funds, Business Development Companies, Buy-Out Funds



Insurance Industry

Insurance Companies

D&O, E&O, EPL, Fiduciary, Fidelity

Appetite: Carriers with minimum of \$500M in policyholder surplus that are A- rated by A.M. Best or higher.

Captive Insurance Agents

Appetite: Sponsored Life Agent E&O Programs
Sponsored P&C Agent E&O Programs



Banking Industry

Community Banks and Credit Unions

D&O, EPL, BPL, Security & Privacy, Fiduciary, FI Bond

Appetite: FDIC-insured depository institutions up to \$10B in assets

Large Banks (Global/National/Regional)

D&O, EPL, Fiduciary, FI Bond

Appetite: FDIC-insured depository institutions with more than \$10B in assets



Real Estate Industry

Real Estate Investment Trusts (REITs)

D&O, EPL, Fiduciary, Fidelity, S&P

Appetite: Publicly traded equity REITs

¹Rating as of January 31, 2022. A.M. Best and Standard & Poor's financial strength rating are under continuous review and subject to change and/or affirmation. For the latest Best's Ratings and Best's Company Reports (which include Best's Ratings), visit the A.M. Best website at www.ambest.com. The rating represents the overall financial status of the individual member companies of Zurich in North America, including Zurich American Insurance Company, and is not a recommendation of the specific policy provisions, rates or practices of each issuing insurance company. Zurich's risk appetite is subject to underwriting guidelines and further evaluation for qualification. Risk appetite is current as of August 1, 2022 and subject to change.

Zurich

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